## Weighted CDF-based Scheduling for an OFDMA Relay Downlink with Partial Feedback

Anh H. Nguyen, Yichao Huang, and Bhaskar D. Rao. University of California, San Diego

November 14, 2012

イロト イポト イヨト イヨト

æ

Anh H. Nguyen, Yichao Huang, and Bhaskar D. Rao. University of

#### Outline



- 2 System model and performance
  - System model
  - Analysis
  - Weights setting
  - Experimental results
- 3 Extension with Relays
  - Fast fading
  - Slow fading



.≣⇒

< 🗇

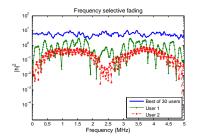
とう ほうしん

#### Outline



- System model and performance
  - System model
  - Analysis
  - Weights setting
  - Experimental results
- 3 Extension with Relays
  - Fast fading
  - Slow fading
- 4 Conclusions

#### OFDMA system - Multiuser diversity



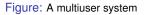
- Effects of fading on channels of users
  - Multiuser diversity and frequency selectivity
    - Multiuser diversity: channels to different users are different
    - Frequency selectivity: channels on different frequency are different
- Goal: Exploit the diversity to improve system's performance

#### Performance measures vs. Challenges

#### Measures of System's Performance

- Throughput
- Fairness: meet users' requirements
- Feedback constraint
- Challenges
  - A large number of users
  - A large number of resource blocks
  - Diversity in users' characteristics
    - Users' location: different channel gain and channel statistic...
    - Users' requirements: types of service, power, data rate, delay tolerance,...
- At first, we introduce the system model





ヘロト ヘアト ヘヨト ヘ

System model Analysis Weights setting Experimental results

イロト イポト イヨト イヨト

## Outline

#### Introduction

#### 2 System model and performance

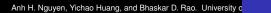
- System model
- Analysis
- Weights setting
- Experimental results
- 3 Extension with Relays
  - Fast fading
  - Slow fading

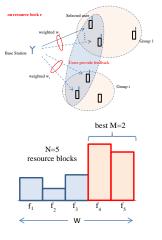
#### 4 Conclusions

System model Analysis Weights setting Experimental results

## System, Feedback and Scheduling

- System: Groups of users with different priority
  - Groups of macro users
  - Groups of cell edge users, each group is served by a relay
- OFDM with Partial feedback
  - Users feed back the best *M* among channels on *N* resource blocks
  - On resource block r, there are subset of users to feed back
- Scheduling
  - Users are selected based on weighted cdf of the SNR.





э

<<p>(日)

System model Analysis Weights setting Experimental results

## Weighted CDF scheduling

- How weighted CDF work [5]
  - From SNR  $Y_{k_i}$  of user  $k_i$
  - Obtain  $u_{k_i} = F_{Y_{k_i}}(x)$ ,
    - Uniformly distributed in [0, 1]
    - Identical for all users
- Compare and prioritize users
  - Select the user with the largest weighted CDF
     k\* = arg max<sub>k</sub>{F<sub>Y<sub>k</sub></sub>(x)}<sup>1</sup>/<sub>w<sub>i</sub></sub>

Advantage: can control precisely selection probability of all the users

[5] D. Park, H. Seo, H. Kwon, and B. Lee, "Wireless packet scheduling based on the cumulative distribution function of user transmission rates", *IEEE Trans on Communications*, Nov: 2005.

Anh H. Nguyen, Yichao Huang, and Bhaskar D. Rao. University of

System model Analysis Weights setting Experimental results

#### System's performance - average sum rate

#### • The average system sum rate is

$$R = \frac{1}{N} \sum_{r=1}^{N} E \log(1 + X_r) = E \log(1 + X_r)$$
(1)

<ロト <回 > < 注 > < 注 > 、

æ

where  $X_r$  is SNR to the selected user on resource block r

Anh H. Nguyen, Yichao Huang, and Bhaskar D. Rao. University of

System model Analysis Weights setting Experimental results

・ロット (雪) ( ) ( ) ( ) ( )

æ

#### Performance analysis

• Steps in analyzing system performance [4]

Framework	CQI feedback	
	Random variable	Output
Step 1	$Z_{k,r}$ : CQI at a receiver	$F_{Z_k}$
Step 2	$Y_{k,r}$ : SNR seen at a transmitter	$F_{Y_k}$
Step 3	$X_r$ : SNR of a selected user	F <sub>X cond</sub>
Step 4a	$F_X = E_{cond}F_{X cond}$	
Step 4b	$E_{cond}E_{X_r}[\log(1+X_r) cond]$	

k: user index, r: block index

[4] Seong-Ho Hur, and Bhaskar Rao, "Sum rate analysis of a reduced feedback OFDMA system employing joint scheduling and diversity", *IEEE Transactions on Signal Processing*, 2011.

System model Analysis Weights setting Experimental results

ヘロト ヘ戸ト ヘヨト ヘヨト

ъ

#### Performance analysis

#### Theorem

In an OFDMA system where all equipments have a single antenna, with L groups of users, each group i has  $K_i$  users, if only CQI on M best among N resource blocks is fed back, the CDF of system's throughput is

$$F_{R}(\zeta(x)) = \sum_{l=1}^{L} \sum_{\substack{n_{l}=0\\j=1,...,L;\bar{n}\neq 0}}^{K_{j}} \left( \prod_{j=1}^{L} Pr\{|S_{r,j}| = n_{j}\} \right) \times \begin{cases} \frac{n_{l}w_{l}}{\sum_{j=1}^{L} n_{j}w_{j}} \sum_{t=1}^{\infty} e_{3}(\alpha_{l}, t) \sum_{m=0}^{t(M-1)} e_{2}(m)F_{Z_{k}}\left(\frac{x}{\rho}\right)^{Nt-m} & \alpha_{l} \neq 1 \\ \sum_{m=0}^{M-1} e_{1}(m) \sum_{k=0}^{N-m} F_{Z_{k}}\left(\frac{x}{\rho}\right)^{N-m} & \alpha_{l} = 1 \end{cases},$$
(2)

System model Analysis Weights setting Experimental results

ヘロト 人間 とくほとくほとう

#### Performance analysis

where

• 
$$\zeta(x) = \log(1 + x)$$
  
•  $F_{Z_k}\left(\frac{x}{\rho}\right)$  is CDF of SNR from the BS to user  $k$   
•  $Pr\{|S_{r,l}| = n_l\} = {\binom{K_l}{n_l}} {\binom{M}{N}}^{n_l} \left(1 - \frac{M}{N}\right)^{K_l - n_l}$   
•  $\alpha_l = \frac{\sum_{i=1}^{L} w_i n_i}{n_l w_l};$   
•  $e_1(m) = \sum_{i=m}^{M-1} \frac{M-i}{M} {\binom{N}{i}} {\binom{i}{m}} {(-1)^{i-m}}$   
•  $e_2(0) = e_1(0)^t; e_2(m) = \frac{1}{me_1(0)} \sum_{k=1}^{\min(M-1,m)} (kt - m + k) e_1(k) e_2(m - k);$   
•  $e_3(\alpha_l, t) = \sum_{i=t}^{\infty} {\binom{\alpha_l}{i}} {\binom{i}{t}} {(-1)^{i-t}}.$ 

System model Analysis Weights setting Experimental results

#### Weights for groups of users

The probability of selection of user  $k_l$  is

$$Pr\{k_{r}^{*} = k_{l}\} = \sum_{\pi(\bar{\mathbf{n}})} \frac{w_{l}}{\sum_{j=1}^{L} n_{j} w_{j}} \frac{n_{l}}{K_{l}} {K_{1} \choose n_{1}}$$
$${\binom{\kappa_{L}}{n_{L}}} \left(\frac{M}{N}\right)^{\sum_{j=1}^{L} n_{j}} \left(1 - \frac{M}{N}\right)^{\sum_{j=1}^{L} (K_{j} - n_{j})}.$$
 (3)

- Initialize w(0) = [<sup>P<sub>alloc,1</sub></sup>/<sub>K<sub>l</sub></sub>,..., <sup>P<sub>alloc,L</sub></sup>/<sub>K<sub>L</sub></sub>] which is the weights for the full feedback case.
- Solving  $\delta \mathbf{w}(t)\phi(\mathbf{w}(t)) = -\nabla \phi(\mathbf{w}(t))$ .
- Update w(t + 1) = w(t) + δw(t). Normalize
   w so that ||w||<sub>2</sub> = 1 which does not change
   φ(w).
  - Find  $\|\phi(\mathbf{w})\|_{2} < \epsilon$

Anh H. Nguyen, Yichao Huang, and Bhaskar D. Rao. University c

- Set *ϵ* = 10<sup>-10</sup>
- Target *P<sub>alloc</sub>* = [0.2, 0.8]
- Found weight
   w = [0.318, 0.682] after 4 iterations

Iteration	Norm $\ \phi(\mathbf{w})\ _2$
0	2.4691512e-001
1	6.2304622e-002
2	3.0325582e-003
3	7.4881615e-006
4	4.5758897e-011

Table: Convergence behavior

System model Analysis Weights setting Experimental results

#### **Experimental results**

We consider an OFDMA system with N = 10 resource blocks and groups of users with different priority

- Group 1
  - *K*<sub>1</sub> = 10 users, weight *w*<sub>1</sub> = 0.4, located at *d*<sub>1</sub> = 414m
- Group 2 cell edge
  - *K*<sub>2</sub> = 5 users, weight *w*<sub>2</sub> = 0.6, located at *d*<sub>2</sub> = 834m

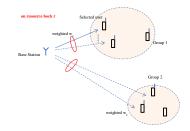


Figure: A partial feedback OFDMA system

System model Analysis Weights setting Experimental results

イロト イポト イヨト イヨト

#### System's performance with partial feedback

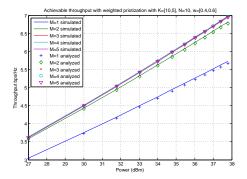


Figure: Analyzed and simulated performance of a partial feedback OFDMA system with N = 10, K = [10, 5], w = [0.4, 0.6]

System model Analysis Weights setting Experimental results

#### System's performance with different number of users

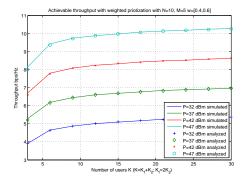


Figure: Analyzed system throughput of a partial feedback OFDMA system with N = 10, M = 5, w = [0.4, 0.6],  $K_1 = 2K_2$ 

Fast fading Slow fading

イロト イポト イヨト イヨト

## Outline



- 2 System model and performance
  - System model
  - Analysis
  - Weights setting
  - Experimental results
- Extension with Relays
  - Fast fading
  - Slow fading

#### 4 Conclusions

Fast fading Slow fading

### Fast fading BS-RS links

We consider an OFDMA system with N = 10 resource blocks and groups of users with different priority

- Group 1
  - *K*<sub>1</sub> = 10 users, weight *w*<sub>1</sub> = 0.4, located at *d*<sub>1</sub> = 414m
- Group 2 cell edge
  - *K*<sub>2</sub> = 5 users, weight *w*<sub>2</sub> = 0.6, located at *d*<sub>2</sub> = 834m
- A relay located at  $d_r = 815m$

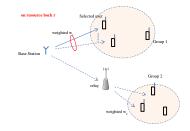


Figure: A partial feedback OFDMA system

Fast fading Slow fading

#### Conclusions

#### System's performance with relays

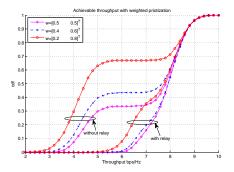


Figure: Performance tradeoff due to the biased treatment with users; OFDMA system with N = 10, K = [10, 5], P = 37dBm, the distance BS-macro MS is  $d_1 = 414$ m and the distance BS-MS group 2 is  $d_2 = 834$ m which are aided by a relay with power 30dBm located 815m from the BS, full feedback is provided

くロト (過) (目) (日)

Fast fading Slow fading

### Slow fading BS-RS links

#### Group 1

- Macro users, located at d<sub>1</sub> = 414m
- 5 groups (2,...,6) at the cell edge
  - Users, each group served by a relays
  - Located at d<sub>2</sub> = 834m
- A relay located at  $d_r = 815m$
- Parameters
  - Number of users *K* = [2 2 2 3 3 3]
  - Weights

 $w = [0.05 \ 0.1 \ 0.15 \ 0.2 \ 0.2 \ 0.3]$ 

Log-normal shadowing fading 8dB

• ...

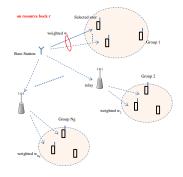


Figure: A partial feedback OFDMA system

Fast fading Slow fading

#### Slow fading BS-RS links

- Allocation of users does not meet requirements
- Groups in resource starvation
  - Out of service if the coherence time is large
- Short term adjustment can not maintain fairness among users

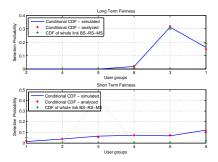


Figure: Selection probability of users in an OFDMA system

Fast fading Slow fading

## Slow fading BS-RS links

The proposed solutions

- Interpolate CDF to create an artificial CDF
  - Continuous
  - Uniformly distributed [0, 1]
- Can, again precisely control allocation probability for users

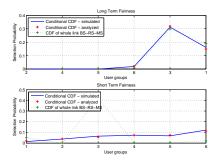
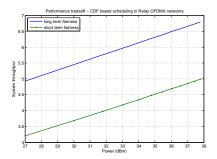


Figure: Selection probability of users in an OFDMA system

Fast fading Slow fading

### Slow fading BS-RS links

- Tradeoff in system's performance
- Goal: propose a modified technique
  - Similar allocation management
  - Better tradeoff in performance



イロト イポト イヨト イヨト

э

# Figure: Tradeoff in system's throughput

### Outline



- 2 System model and performance
  - System model
  - Analysis
  - Weights setting
  - Experimental results
- 3 Extension with Relays
  - Fast fading
  - Slow fading



#### Conclusion

- Consider a Weighted CDF-based scheduling OFDMA system with partial feedback
  - Ensures fairness
  - Supports user priority
  - Exploits multiuser diversity users
- Developed an analytical expression for system throughput
- The simulations verify the performance of the scheduling method on OFDMA systems

イロト イポト イヨト イヨト

æ

#### References

2	Anh H. Nguyen, and Bhaskar D. Rao, "User selection schemes for maximizing throughput of multiuser MIMO systems using Zeroforcing Beamforming",
	ICASSP, 2011.
	Anh Nguyen, Yichao Huang, and Bhaskar Rao, "Weighted CDF-based Scheduling for an OFDMA Relay Downlink with Partial Feedback", Asilomar Conference on Signals, Systems, and Computers, Nov. 2012.
	T. Yoo, A. Goldsmith, "On the optimality of multiantenna broadcast scheduling using zero-forcing beamforming", <i>IEEE Journal on Selected Areas in Comms</i> , Mar. 2006.
	Seong-Ho Hur, and Bhaskar Rao, "Sum rate analysis of a reduced feedback OFDMA system employing joint scheduling and diversity", <i>IEEE Transactions on</i> <i>Signal Processing</i> , 2011.
	D. Park, H. Seo, H. Kwon, and B. Lee, "Wireless Packet Scheduling Based on the Cumulative Distribution Function of User Transmission Rates", <i>IEEE Trans on</i> <i>Communications</i> , Nov. 2005.
	I. Gradshteyn, and I. Ryzhik, "Table of Integrals Series and Product", Academic Press 2007.
	G. Senarath et al. "Multi-hop relay system evaluation methodology (channel model and performance metric)",
	http://ieee802.org/16/relay/docs/80216j-06_013r3.pdf, Feb. 2007.

#### Thank you!

Anh H. Nguyen, Yichao Huang, and Bhaskar D. Rao. University c